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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/997,350	11/29/2001	Thomas W. Lanzatella	1557-005US1	6058

7590

04/29/2005

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EXAMINER
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THAI, HANH B

ART UNIT	PAPER NUMBER
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2161

DATE MAILED: 04/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/997,350

**Applicant(s)**

LANZATELLA ET AL.

**Examiner**

Hanh B. Thai

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on amendment filed 12/03/04.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-7 and 21-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-7 and 21-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments with respect to claims 1-7 and 21-28 have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-7 and 21-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bracha et al. (US 6,687,760) in view of Harrison et al. (US Patent no. 6,128,717).

Regarding claim 1, Bracha discloses a method for resolving a storage object's absolute location within a first storage environment to grant access to the storage object, comprising:

- receiving a storage object reference (abstract; summary; col.5, lines 39-55 and line 57 to col.6, line 23, Bracha);
- determining an initial stack level associated with the storage reference (abstract; summary and col.5, lines 39-55, Bracha);
- iterating through one or more additional stack levels beginning with the initial stack level (abstract; summary; col.5, lines 39-55 and line 57 to col.6, line 23, Bracha); and
- translating the storage reference through each iteration (abstract; summary; col.5, lines 39-55 and line 57 to col.6, line 59, Bracha).

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- Bracha, however, does not explicitly disclose the one or more relative extents until one or more absolute extents are obtained, wherein the one or more absolute extents comprise the storage object's absolute location within the first storage environment. Harrison discloses a method for storage application programming interface for storage and retrieval based upon data object type or size including the relative extents in storage (see col. 6, lines 1-8; Fig. 6 and corresponding text, Harrison). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Bracha as taught by Harrison to include the claimed feature. The motivation of doing so would have been to improve the techniques for accessibility to objects (col.2, lines 48-53, Bracha).

Regarding claim 2, Bracha/Harrison combination discloses that the reference is received from a module included in an application programming interface (API) library (see summary of Harrison).

Regarding claim 3, Bracha/Harrison combination discloses that the reference is obtained from a client module initiating one or more storage-access modules of the API library resulting in the initiation of the module ((abstract; summary; col.5, lines 39-55 and line 57 to col.6, line 59, Bracha)).

Regarding claim 4 Bracha/Harrison combination discloses that the client module resides in a second storage environment (see Fig.2 and corresponding text, Harrison).

Regarding claim 5, Bracha/Harrison combination discloses that a file system and volume manager associated with the first storage environment is identified (see Fig. 1A and corresponding text, Harrison).

Regarding claim 6, Bracha/Harrison combination discloses the translating the storage reference, the file system and volume manager provide one or more operations to translate the storage reference into one or more of the relative extents and one or more of the absolute extents (abstract; summary; col.5, lines 39-55 and line 57 to col.6, line 59, Bracha).

Regarding claim 7, Bracha/Harrison combination discloses one or more modules within an API library (element 50, Fig.6 and corresponding text, Harrison).

Regarding claim 21, Bracha discloses a storage object access system, comprising:

- a storage management stack having a plurality of stack levels, wherein the stack levels include a lowest level identifying one or more storage devices of a first storage environment (abstract; summary; col.5, lines 39-55 and line 57 to col.6, line 59, Bracha);
- the stack levels to resolve a reference to a storage object and to pass the resolved reference to a next stack level, unless the resolved reference is an absolute reference to the storage object housed on one or more of the storage devices (abstract; summary; col.5, lines 39-55 and line 57 to col.6, line 23, Bracha); and
- Bracha, however, does not explicitly disclose the module interfaces and controller that selectively calls a number of the plug-in modules until the absolute reference is obtained. Harrison discloses a method for storage application programming interface for storage and retrieval based upon data

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object type or size including the relative extents in storage (see col. 6, lines 1-8; Fig. 6 and corresponding text, Harrison) and the controller that selectively calls a number of the plug-in modules (see fig.1A, Harrison). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Bracha as taught by Harrison to include the claimed feature. The motivation of doing so would have been to improve the techniques for accessibility to objects (col.2, lines 48-53, Bracha).

Regarding claim 22, Bracha/Harrison combination discloses one or more replica references are obtained by the controller with the obtained absolute reference, the replica references identifying replicas for the storage object within the first storage environment (see fig.1A; Fig.10-11 and corresponding text, Harrison).

Regarding claim 23, Bracha/Harrison combination discloses that the controller is an application programming interface (API) library (see summary of Harrison).

Regarding claim 24, Bracha/Harrison combination discloses the controller executes in both the first storage environment and a second storage environment (see fig.1A; Fig.10-11 and corresponding text, Harrison). Please note that “the file system” and “volume manager” corresponds to the first and second storage environment respectively.

Regarding claim 25, Bracha/Harrison combination discloses that the controller is used by a client module in a second storage environment (see col.7, lines 3-11, Harrison).

Regarding claim 26, Bracha/Harrison combination discloses the storage management stack is a storage hierarchy representing a storage configuration for the storage object within the

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first storage environment (abstract; summary; col.5, lines 39-55 and line 57 to col.6, line 59, Bracha).

Regarding claims 27-28, Bracha/Harrison combination discloses an application level, a file system level, a volume manager level, and a device level. Fig. 1A of Harrison showing application level, a file system level, a volume manager level, and a device level. And the application level, a file system level, a volume manager level, and a device level corresponding to the first level, second level, third level, and the lowest level respectively.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hanh B. Thai whose telephone number is 571-272-4029. The examiner can normally be reached on 8 AM - 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on 571-272-4023. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hanh B Thai  
Examiner

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April 27, 2005



**UYEN LE**  
**PRIMARY EXAMINER**